Suzanne J. Romajas 1 Devon Leppink Staren 2 SECURITIES AND EXCHANGE COMMISSION 100 F Street, N.E. 3 Washington, DC 20549-5971 Email: RomajasS@sec.gov 4 StarenD@sec.gov 5 Attorneys for Plaintiff 6 UNITED STATES DISTRICT COURT 7 DISTRICT OF NEVADA 8 Case No. 2:19-cv-1132-JCM-EJY 9 SECURITIES AND EXCHANGE COMMISSION, 10 Plaintiff, STIPULATION AND | PROPOSED | ORDER 11 VS. **ESTABLISHING NEW DEADLINES FOR** 12 JOHNNY R. THOMAS, **COMPLIANCE WITH** ROBERT C. POTTS, MAGISTRATE'S ORDER 13 JONATHAN BRETT WOODARD, and (DKT. 64) JOHN C. FRANCIS, 14 15 Defendants. 16 Plaintiff Securities and Exchange Commission ("SEC") and Defendants Johnny R. Thomas 17 ("Thomas") and John C. Francis ("Francis") (collectively, "Defendants"), hereby stipulate and agree 18 as follows: 19 1. On December 7, 2020, Magistrate Judge Youchah entered an order compelling the 20 SEC to disclose the "fact" portions of certain SEC investigative attorney interview notes, following 21 an in camera review of those notes. The Court proposed redactions to the notes and ordered the SEC 22 either to move to make changes to the Court's proposed redactions or to serve Defendants with the 23 Court's redactions and a privilege log within 30 court days. The Court also set a schedule for 24 Defendants to challenge the redactions. (Dkt. No 64.) 25 2. On December 21, 2020, ten court days after entry of the December 7 Order, the SEC 26 filed Objections to December 7 Order ("Objections"). (Dkt. No. 65.) 27 28

- 3. On December 28, 2020, the Court entered a stipulated order holding in abeyance all the deadlines established by the December 7 Order pending a ruling on the SEC's Objections by Judge Mahan. (Dkt. No. 67.)
- 4. On February 5, 2021, Judge Mahan issued an order overruling the SEC's Objections. (Dkt. No. 75.)
- 5. The SEC and Defendants have discussed new deadlines for compliance with the December 7 Order and hereby stipulate and agree to the following schedule, which retains the original timelines, but is adjusted to run from February 5, 2021:
  - 6. If the SEC accepts the Court's proposed redactions:
    - a. The SEC shall produce to Defendants the redacted notes, together with a privilege log, on or before March 8, 2021, which is twenty (20) court days after entry of Judge Mahan's February 5 ruling.
    - b. Defendants will have thirty (30) court days from receipt of the SEC's redacted notes and privilege log, to file a motion challenging the redactions.
    - c. The SEC shall have fourteen (14) days from the date of service of any challenge filed by Defendants, to file an opposition.
    - d. The Defendants shall have seven (7) days from the date of service of the SEC's opposition, to file a reply.
  - 7. If the SEC seeks changes to the Court's proposed redactions:
    - a. The SEC shall file a motion under seal on or before March 8, 2021 that shall clearly identify all requested changes and the basis for the same, a redacted copy of which shall be served on Defendants, along with a privilege log.
    - b. The Defendants shall have fourteen (14) days from the date of service of the SEC's motion, to file an opposition.
    - c. The SEC shall have seven (7) days from the date of service of Defendant's opposition, to file a reply.

1	IT IS SO STIPULATED:
2	Dated: February 19, 2021
3	Respectfully submitted,
4	/s/ Devon Leppink Staren
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23	FI IS SO ORDERED:
24	Caura I Zouchah
25	ELAYNA J. YOUCHAH) DATED: Feb. 22, 2021 UNITED STATES MAGISTRATE JUDGE
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